PTO/SB/21 (02-04)

April 2, 2004

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 09/929,227 TRANSMITTAL Filing Date August 13, 2001 **FORM** First Named Inventor Stephen F. Gass Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Boyer D. Ashley Attorney Docket Number 49 **SDT 304** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication **✓** Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC 1 Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David Fanning, Esq., Reg. No. 33,233 SD3, LLC Individual name Signature av u Date April 2, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name David Fanning Date

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Signature





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

√ In re Application of

STEPHEN F. GASS and DAVID S. D'ASCENZO

Date: April 2, 2004

Serial No.: 09/929,227

Examiner Boyer D. Ashley

Filed: August 13, 2001

Group Art Unit 3724

For: SPRING-BIASED BRAKE MECHANISM FOR POWER EQUIPMENT

To: Commissioner for Patents

Attention: Examiner Boyer D. Ashley

Group Art Unit 3724

P.O. Box 1450

Alexandria, Virginia 22313-1450

FIRST AMENDMENT

Responsive to the Office action mailed December 23, 2003 in connection with the above-identified patent application, please amend the specification and claims as indicated herein. In the claims, claims 9-11 are amended, claims 2, 5, 8, 13-16 and 20 are cancelled without prejudice, and claims 21-30 are added.

| Amendments to the Specification | Begin on page 2. |
|---------------------------------|-------------------|
| Amendments to the Claims | Begin on page 13. |
| Amendments to the Drawings | None. |
| Remarks | Begin on page 21. |



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Date: April 2, 2004

David A. Fanning